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# GENERALIZED NORMALITY IN RING EXTENSIONS INVOLVING AMALGAMATED ALGEBRAS

Tae In Kwon<sup> $\dagger$ </sup> and Hwankoo Kim<sup>\*</sup>

ABSTRACT. In this paper, seminormality and t-closedness in ring extensions involving amalgamated algebras are studied. Let  $R \subseteq T$ be a ring extension with ideals  $I \subseteq J$ , respectively such that J is contained in the conductor of R in T. Assume that T is integral over R. Then it is shown that  $(R \bowtie I, T \bowtie J)$  is a seminormal (resp., t-closed) pair if and only if (R, T) is a seminormal (resp., t-closed) pair.

#### 1. Introduction

All rings considered here are assumed to be commutative rings with identity. First, we recall some definitions and properties.

1. Let  $R \subseteq T$  be an extension of commutative rings. Then we say that R is seminormal (resp., t-closed) in T if an element  $t \in T$  is in R whenever  $t^2, t^3 \in R$  (resp., whenever there exists r in R such that  $t^2 - rt, t^3 - rt^2 \in R$ ). Also we say that (R, T) is a normal (resp., seminormal, t-closed) pair if, for each ring C between R and T (R and T included), C is integrally closed (resp., seminormal, t-closed) in T. Clearly every normal pair is a t-closed pair and every t-closed pair is a seminormal pair [9, Proposition 1.3].

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2. A commutative ring R is called *seminormal* (resp. *t-closed*) if, whenever  $(a, c) \in R^2$  satisfies  $a^3 = c^2$ , there exists  $b \in R$  such that  $b^2 = a$  and  $b^3 = c$  (resp., whenever  $(a, r, c) \in R^3$  satisfies  $a^3 + arc - c^2 = 0$ , there exists  $b \in R$  such that  $b^2 - rb = a$  and  $b^3 - rb^2 = c$ ).

The concepts of seminormality and t-closedness were introduced and studied in various contexts in [10] and [6] respectively, and further investigated in much literature, for example [4, 5, 7–9, 11].

On the other hand, in [2], the authors introduced the concept of amalgamated algebras along an ideal as follows. Let R and T be commutative rings with identity, let J be an ideal of T, and let  $f : R \to T$  be a ring homomorphism. In this setting, they defined the following subring of  $R \times T$ :

$$R \bowtie^f J = \{ (r, f(r) + j) \mid r \in R, j \in J \}$$

called the amalgamation of R with T along J with respect to f. Other classical constructions (such as the A + XB[X] construction, the D + Mconstruction, and the Nagatas idealization) can be studied as particular cases of the amalgamation. Recently, in [5], the author defined the more general construction with algebras rather than ideals. Let J be an f(R)subalgebra of T. Then  $R \bowtie^f J = \{(r, f(r)+j) \mid r \in R, j \in J\}$  is referred to as a general bowtie ring, or a general bowtie extension of R. Then, among other things, he considered integrality of extensions of bowtie rings.

Our primary focus of this paper will be investigating seminormality and t-closedness in ring extensions of the form  $R \bowtie^f I \subset T \bowtie^f J$ , where  $f: T \to T'$  is a ring homomorphism, I is an f(R)-subalgebra of T', and J is an f(T)-subalgebra of T' with  $I \subseteq J$ .

#### 2. Main results

Let  $R \subseteq T$  be a ring extension,  $f: T \to T'$  a ring homomorphism, Ian f(R)-subalgebra of T', and J an f(T)-subalgebra of T' with  $I \subseteq J$ . It is shown that  $R \bowtie^f I$  is integrally closed in  $T \bowtie^f J$  if and only if Ris integrally closed in T, f(R) + I is integrally closed in f(R) + J, and  $J \cap (f(R) + I) = I$  [5, Theorem 6.1.10]. The following result is an analog of this result.

Generalized normality

PROPOSITION 2.1. Let  $R \subseteq T$  be a ring extension,  $f: T \to T'$  a ring homomorphism, I an f(R)-subalgebra of T', and J an f(T)-subalgebra of T' with  $I \subseteq J$ . Then  $R \bowtie^f I$  is seminormal (resp., t-closed) in  $T \bowtie^f J$  if and only if R is seminormal (resp., t-closed) in T and f(R) + Iis seminormal (resp., t-closed) in f(R) + J.

*Proof.* The "t-closed" case can be proved similarly to that of the "seminormal" case. Thus we only prove the "seminormal" case.

(⇐) Assume that R is seminormal in T and f(R) + I is seminormal in f(R) + J. Let  $(t, f(t) + j) \in T \bowtie^f J$   $(t \in T, j \in J)$  such that  $(t, f(t) + j)^2, (t, f(t) + j)^3 \in R \bowtie^f I$ . Then  $(t^2, (f(t) + j)^2), (t^3, (f(t) + j)^3) \in R \bowtie^f I$ . Thus  $t^2, t^3 \in R$ , and so  $t \in R$  since R is seminormal in T. Also we have  $f(t) + j \in f(R) + J$ . Since  $(f(t) + j)^2, (f(t) + j)^3 \in f(R) + I$ , we have  $f(t) + j \in f(R) + I$  since f(R) + I is seminormal in f(R) + J.

(⇒) Assume that  $R \bowtie^f I$  is seminormal in  $T \bowtie^f J$ . Let  $t \in T$  such that  $t^2, t^3 \in R$ . Then  $(t, f(t)) \in T \bowtie^f J$  such that  $(t, f(t))^2, (t, f(t))^3 \in R \bowtie^f I$ . Thus by hypothesis,  $(t, f(t)) \in R \bowtie^f I$ , and so  $t \in R$ . Therefore, R is seminormal in T. Now let  $f(r) + j \in f(R) + J$   $(r \in R, j \in J)$  such that  $(f(r)+j)^2, (f(r)+j)^3 \in f(R)+I$ . Then  $(r, f(r)+j)^2, (r, f(r)+j)^2 \in R \bowtie^f I$ . By hypothesis,  $(r, f(r)+j) \in R \bowtie^f I$ , and so  $f(r) + j \in f(R) + I$ . Therefore, f(R) + I is seminormal in f(R) + J.  $\Box$ 

Note that the extension  $R \bowtie^f I \subset T \bowtie^f J$  generalizes ring extensions of the form  $R \bowtie I \subset T \bowtie J$  (which belong to the special case where T = T' and f is simply the identity map). Thus we have the following result.

COROLLARY 2.2. Let  $R \subseteq T$  be a ring extension with ideals  $I \subseteq J$ , respectively. Then  $R \bowtie I$  is seminormal (resp., t-closed) in  $T \bowtie J$  if and only if R is seminormal (resp., t-closed) in T.

*Proof.* This follows from Proposition 2.1 and the fact that "*R* is seminormal (resp., *t*-closed) in *T*" implies that "R + I (= R) is a seminormal (resp., *t*-closed) in  $R + J (\subseteq T)$ ".

We say that R is a *decent ring* (also called *complemented*) if the total ring of quotients of R, denoted by Tot(R), is a von Neumann regular ring (also called an absolutely flat ring). Recall from a comment after [10, Corollary 3.4] that for a decent ring R, by saying R is seminormal (resp., *t*-closed) we mean that R is seminormal (resp., *t*-closed) in Tot(R). Now we have an immediate application of Corollary 2.2. COROLLARY 2.3. Let R be a decent ring and I be an ideal of R. Then  $R \bowtie I$  is seminormal (resp., t-closed) if and only if R is seminormal (resp., t-closed).

*Proof.* It is enough to show that  $R \bowtie I$  is a decent ring. This follows from two facts that (1)  $\operatorname{Tot}(R \bowtie I)$  is canonically isomorphic to  $\operatorname{Tot}(R) \bowtie I \operatorname{Tot}(R)$  [5, Theorem 5.3.3] and (2) for a proper ideal J of a commutative ring  $A, A \bowtie J$  is a von Neumann regular ring if and only if A is a von Neumann regular ring [1, Theorem 2.1].

It was shown that if T is von Neumann regular and is integral over a subring R, then (R, T) is a seminomal pair [11, Lemma 1.4]. In what follows we will consider seminormal (resp., *t*-closed) pairs in extensions of bowtie rings.

PROPOSITION 2.4. Let  $R \subseteq T$  be a ring extension with ideals  $I \subseteq J$ , respectively. Assume that T is integral over R and T is von Neumann regular. Then  $(R \bowtie I, T \bowtie J)$  is a seminormal pair.

*Proof.* Recall that  $R \bowtie I \subset T \bowtie J$  is integral if and only if  $R \subset T$  is integral [5, Corollary 6.1.2]. Now the assertion follows from [1, Theorem 2.1] and [11, Lemma 1.4].

LEMMA 2.5. Let  $R \subseteq T$  be a ring extension,  $f : T \to T'$  a ring homomorphism, I an f(R)-subalgebra of T', and J an f(T)-subalgebra of T' with  $I \subseteq J$ . If  $(R \bowtie^f I, T \bowtie^f J)$  is a seminormal (resp., t-closed) pair, then (R, T) is a seminormal (resp., t-closed) pair.

*Proof.* The "t-closed" case can be proved similarly to that of the "seminormal" case. Thus we only prove the "seminormal" case.

Assume that  $(R \bowtie^f I, T \bowtie^f J)$  is a seminormal pair. If (R, T) is not a seminormal pair, then there exists an intermediate ring S (possibly R itself) and a  $t \in T \setminus R$  which satisfies  $t^2, t^3 \in S$ . Note that  $S \bowtie^f J = \{(s, f(s) + j) \mid s \in S, j \in J\}$  is a ring lying between  $R \bowtie^f I$  and  $T \bowtie^f J$ . Further, the element  $(t, f(t)) \in T \bowtie^f J \setminus S \bowtie^f J$  satisfies  $(t, f(t))^2, (t, f(t))^3 \in S \bowtie^f J$ , contradicting that  $S \bowtie^f J$  is seminormal in  $T \bowtie^f J$  by hypothesis.

Let  $R \subseteq T$  be an extension of commutative rings with (the same) identity. Consider the following conditions:

(a) T is integral over R.

(b)  $\operatorname{Spec}(T) \longrightarrow \operatorname{Spec}(R)$  is a bijection.

(c) The residue field extensions are isomorphisms, i.e., for each  $Q \in$ Spec(T) the extension  $R_P/PR_P \hookrightarrow T_Q/QR_Q$  is an isomorphism, where  $P = Q \cap R$ .

We recall some special extensions satisfying two or three conditions above including the condition (a).

- 1. R. G. Swan called the extension  $R \subseteq T$  subintegral if (a), (b) and (c) are satisfied [10].
- 2. G. Picavet and M. Picavet-L'Hermitte called the extension  $R \subseteq T$  infra-integral if (a) and (c) are satisfied [7].

LEMMA 2.6. Let  $R \subseteq T$  be an integral extension of commutative rings. Then (R,T) is a seminormal (resp., t-closed) pair if and only if  $(R_P,T_P)$  is a seminormal (resp., t-closed) pair for all maximal ideals Pof R.

Proof. Recall that for every multiplicatively closed subset S of a ring A, any intermediate ring for an extension  $A_S \subseteq B_S$  of rings has the form  $C_S$ , for a suitable ring C between A and B (cf., [9, Proposition 1.5]). Thus the necessity follows from the fact that being seminormal (resp., *t*-closed) is stable under localization, while the sufficiency follows from the fact that localization preserves subintegrality (resp., infra-integrality) [10, Corollary 2.10] (resp., [7, Proposition 1.16]).

In [4, Proposition 4.3], it is shown that for a decent ring R and an ideal J of an extension ring T of R with  $I := J \cap R$ , if (R, T) is a normal pair, then  $(R \bowtie I, T \bowtie J)$  is a normal pair.

PROPOSITION 2.7. Let  $R \subseteq T$  be a ring extension with ideals  $I \subseteq J$ , respectively such that  $J \subseteq (R :_R T)$ . Assume that T is integral over R. Then  $(R \bowtie I, T \bowtie J)$  is a seminormal (resp., t-closed) pair if and only if (R, T) is a seminormal (resp., t-closed) pair.

*Proof.* The "t-closed" case can be proved similarly to that of the "seminormal" case. Thus we only prove the "seminormal" case.

Assume that (R, T) is a seminormal pair. By Lemma 2.6, it suffices to show that  $R \bowtie I$  is locally seminormal in  $T \bowtie J$ . Let  $Q \in \operatorname{Spec}(R \bowtie I)$ and set  $P := Q \cap R$ .

Case 1:  $I \nsubseteq P$ . By [4, Proposition 4.2(b)], we have  $(R \bowtie I)_Q \cong R_P$ and  $(T \bowtie J)_{(R \bowtie I)\setminus Q} \cong T_{R\setminus P}$ . Thus  $((R \bowtie I)_Q, (T \bowtie J)_{(R \bowtie I)\setminus Q})$  can be identified with the seminormal pair  $(R_P, T_{R\setminus P})$ . Tae In Kwon and Hwankoo Kim

Case 2:  $I \subseteq P$ . By [4, Proposition 4.2(a)], we have  $(R \bowtie I)_Q \cong R_P \bowtie I_P$  and  $(T \bowtie J)_{(R\bowtie I)\setminus Q} \cong T_{R\setminus P} \bowtie J_{R\setminus P}$ . Since J is contained in the conductor ideal of R in T,  $J_{R\setminus P}$  is an ideal of  $R_P$ . Since  $(R_P, T_{R\setminus P})$  is a seminormal pair, we can apply [7, Theorem 3.15] to show that  $(R_P \bowtie I_P, T_{R\setminus P} \bowtie J_{R\setminus P})$  is a seminormal pair. This completes the proof that  $R \bowtie I$  is locally seminormal in  $T \bowtie J$ , as required.

The converse follows from Lemma 2.5.

If we refine the assumption  $I \subseteq J$  by requiring that J = I, we are able to strengthen the result by removing the restriction that  $J \subseteq (R :_R T)$ and  $R \subseteq T$  be integral. In fact, we can give this result, which is an analog of [5, Theorem 6.2.4.], in the context of general bowtie rings.

PROPOSITION 2.8. Let  $R \subseteq T$  be a ring extension,  $f : T \to T'$  a ring homomorphism, and J an f(T)-subalgebra of T'. Then  $(R \bowtie^f J, T \bowtie^f J)$  is a seminormal (resp., t-closed) pair if and only if (R, T) is a seminormal (resp., t-closed) pair.

Proof. Assume that (R, T) is a seminormal (resp., t-closed) pair. By [5, Lemma 3.2.13], every ring between  $R \bowtie^f J$  and  $T \bowtie^f J$  is of the form  $S \bowtie^f J$  for some ring  $R \subseteq S \subseteq T$ . Assume that (R, T) is a seminormal (resp., t-closed) pair. Then for each intermediate ring S, S is seminormal (resp., t-closed) in T. By Proposition 2.1,  $S \bowtie^f J$  is seminormal (resp., t-closed) in  $T \bowtie^f J$ .

The converse follows immediately from Lemma 2.5.

Now we end this paper by generating new related examples in the context of extensions of bowtie rings. As usual we denote by  $\mathbb{C}$  the field of complex numbers.

EXAMPLE 2.9. Let  $T := \mathbb{C}[X, Y]$ , where X, Y are indeterminates over  $\mathbb{C}$ .

- 1. Let  $R := \mathbb{C}[X^2, Y, XY]$ . Then it was shown that R is *t*-closed in T [7, Example 3.13] and R is a *t*-closed ring [8, Example 3.1]. Also note that (R, T) is not a *t*-closed pair since  $\mathbb{C}[X^2, X^3, Y, XY]$  is not *t*-closed in T [7, Example 3.13]. Clearly T is integral over R. Now take  $I := (X^2, XY)R$  and J := (X)T. Then by Corollary 2.2,  $R \bowtie I$  is seminormal (resp., *t*-closed) in  $T \bowtie J$ .
- 2. Let M, N be two distinct maximal ideals of T and let  $R := \mathbb{C} + (M \cap N)$ . Then it was shown that T is integral over R and (R, T) is a seminormal pair but not a *t*-closed pair [11, Example 3.1].

Now take  $J := M \cap N = MN$ , the conductor of R in T, and take a nonzero proper subideal I of J. Then by Proposition 2.7,  $(R \bowtie I, T \bowtie J)$  is a seminormal pair but not a *t*-closed pair.

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Tae In Kwon and Hwankoo Kim

### Tae In Kwon

Department of Mathematics Changwon National University Changwon 51140, Republic of Korea *E-mail*: taekwon@changwon.ac.kr

## Hwankoo Kim

Division of Computer & Information Engineering Hoseo University Asan 31499, Republic of Korea *E-mail*: hkkim@hoseo.edu